

Blue lines indicate the area meeting the ISRA Criteria; dashed lines indicate the suggested buffer for use in the development of appropriate place-based conservation measures

HUMBOLDT CURRENT AND TRANSITION ZONE ISRA

Central and South American Pacific Region

SUMMARY

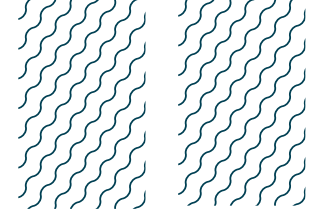
Humboldt Current and Transition Zone extends from southern Ecuador to northern Peru. The area includes several oceanographic features, including the Equatorial Front and the Northern Humboldt Current System, in which major upwelling centres are located. It also overlaps with the Gulf of Guayaquil which constitutes the most important estuary associated to the largest fluvial system of the entire western slope of the Andes. Habitats include estuarine and oceanic waters that are characterised by exceptionally high marine productivity. Within this area there are: **threatened species** (e.g., Spinetail Devil Ray *Mobula mobular*); **reproductive areas** (Spinetail Devil Ray); and **feeding areas** (e.g., Common Thresher *Alopias vulpinus*).

CRITERIA

**Criterion A - Vulnerability; Sub-criterion C1 - Reproductive Areas;
 Sub-criterion C2 - Feeding Areas**

—	—
PERU	—
—	—
0-1,112 metres	—
—	—
261,539.7 km²	—
—	—





DESCRIPTION OF HABITAT

Humboldt Current and Transition Zone is a transboundary area extending from the waters of southern Ecuador (El Oro, Guayas, and Santa Elena regions) to northern Peru (Tumbes, Piura, Lambayeque, La Libertad, and Ancash regions). Situated within the Pacific Central-American Coastal Large Marine Ecosystem (LME) and Humboldt Current LME, the area overlaps with four Ecologically or Biologically Significant Marine Areas (EBSAs): Equatorial Front, Gulf of Guayaquil, Northern Humboldt Current System (NHCS), and Major Upwelling Centers Associated with the NHCS (CBD 2020). It also overlaps with Lobos de Tierra and Lobos de Afuera Islands, a marine protected area system, and three Key Biodiversity Areas (i.e., Isla Foca, Isla Lobos de Tierra, Isla Lobos de Afuera).

The Equatorial Front is a transition zone between the water masses transported by the El Niño and Humboldt currents. Unique hydrography features of the Equatorial Front enhance the high biomass of zooplankton (i.e., copepods and euphausiids) associated to the southern part of the front (Jimenez & Bonilla 1980). The highest level of primary production occurs during the austral summer and autumn (Pennington et al. 2006).

The Gulf of Guayaquil is the largest and most important estuary on the southeast Pacific coast (Stevenson 1981). It is characterised by a great biological productivity due to the oceanographic conditions associated with the Equatorial Front, and interaction of various types of water (i.e., oceanic and freshwater) (CBD 2020). In the gulf, 23 hydrographic basins discharge and the Guayas River Basin constitutes the most important fluvial system of the Andes western slope. The area contains high phytoplankton diversity and fish eggs and larvae abundance (Jimenez & Bonilla 1980).

Globally, the NHCS is one of the most productive systems due to a marine-coastal upwelling that produces high zooplankton abundance (Pennington et al. 2006). The endemic Humboldt Krill *Euphausia mucronata* plays a keystone role in the food web of the Humboldt Current System as a principal prey for filter-feeding fishes (Antezana 2010; Massing et al. 2022). Within the NHCS, six major upwelling centers have been identified which together represent an EBSA (CBD 2020). The upwellings tend to be associated with coastline irregularities (Chavez & Messie 2009). These centres are crucial for the NHCS to re-establish itself after an El Niño event and serve as a refuge to marine organisms, given the persistence of the upwelling (CBD 2020). In this area, two of the six major upwelling centres are located (i.e., Punta Illescas and Chimbote). Punta Illescas represents the northern most upwelling centre with unique coastal topography and contains the narrowest part of the continental shelf (Jacox & Edwards 2011). Along the Peruvian continental margin, the area between -5.25 to -7.5 has the highest number and the largest size of marine canyons (Gutierrez et al. 2009) which enhances local production causing pelagic predators to aggregate for feeding (Fernandez-Arcaya et al. 2017).

This Important Shark and Ray Area is pelagic and is delineated from surface waters (0 m) to a depth of 1,112 m based on the global depth range of Qualifying Species.

ISRA CRITERIA

CRITERION A - VULNERABILITY

Three Qualifying Species considered threatened with extinction according to the IUCN Red List of Threatened Species™ regularly occur in the area. These are the Endangered Spinetail Devil Ray (Marshall et al. 2022), the Endangered Pelagic Thresher (Rigby et al. 2019), and the Vulnerable Common Thresher (Rigby et al. 2022).

SUB-CRITERION C₁ – REPRODUCTIVE AREAS

Humboldt Current and Transition Zone is an important reproductive area for one ray species. In the Eastern Pacific, northern Peru has been identified as one of the three most important habitats for Spinetail Devil Ray (Lezama-Ochoa et al. 2019a, 2019b, 2020). Peruvian fisheries that operate along the coast of this area captured and landed 98% of Spinetail Devil Rays between 1997–2015 (Alfaro-Cordova et al. 2017; Gonzalez-Pestana et al. in press). A total of 1,444 individuals were sampled between 2012–2016 and 2018 (IMARPE 2015; Rojas 2016; Alfaro-Cordova et al. 2017; MINAM 2021; Córdova-Zavaleta 2022; Gonzalez-Pestana et al. 2022). Throughout the years, the catch was mostly composed of neonates, young-of-the-year, and juveniles (sex ratio: 1:1). The smallest individuals measured 60 cm disc width (DW) while, over that period, the average size ranged between 143 to 185 cm DW. Size-at-birth has been reported as 70–85 cm DW (Notarbatolo-di-Sciara 1988) or 90–160 cm DW (Marshall et al. 2022). Based on national landing statistics and interviews with fishers and merchants, the catch reportedly increases in the austral summer (Gonzalez-Pestana et al. 2016; MINAM 2021). Data indicate that this area functions as a seasonal nursery area during the summer (Gonzalez-Pestana et al. 2016; MINAM 2021).

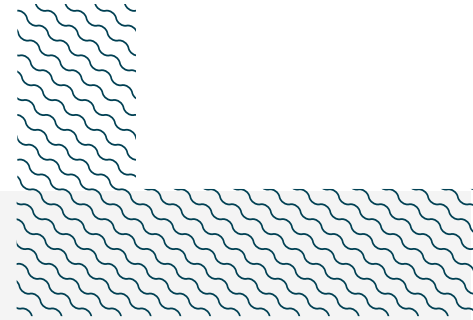
SUB-CRITERION C₂ – FEEDING AREAS

Humboldt Current and Transition Zone is an important feeding area for two shark and one ray species.

In the Eastern Pacific, northern Peru has been identified as one of the three most important habitats for Spinetail Devil Ray (Lezama-Ochoa et al. 2019a, 2019b, 2020). Peruvian fisheries operate along the coast of this area captured and landed 98% of Spinetail Devil Rays between 1997–2015, representing the second most captured ray species by Peruvian fisheries (Alfaro-Cordova et al. 2017; Gonzalez-Pestana et al. in press). The species' distribution is associated with productive upwelling systems in which Peru contains one of the largest aggregations (Alfaro-Cordova et al. 2017; Lezama-Ochoa et al. 2019a, 2019b, 2020). The most important prey of Spinetail Devil Ray is the endemic euphausiid Humboldt Krill during the austral summer and winter (90–92% IRI) and copepods during the spring (47% Index of Relative Importance [IRI]) (Coasaca-Cespedes 2019). This euphausiid concentrates in the coastal upwelling of the Northern Humboldt Current System with an outstanding abundance (specially between 5°–13°S) (Antezana 2010) overlapping with the southern part of this area. Also, the northern part of this area has high zooplankton biomass (Arones et al. 2019). Macrozooplankton abundance (includes euphausiids and copepods) seems highly resilient to El Niño events (Alegre et al. 2015). The NHCS is important for filter-feeding fishes, as the Peruvian Anchoveta *Engraulis ringens*, as well as other pelagic species, get most of their caloric energy from zooplankton (for Peruvian Anchoveta it is mostly euphausiids, followed by copepods) when compared with small pelagic fish in other upwelling ecosystems (Espinoza & Bertrand 2008; Espinoza et al. 2009). This might be one of the key factors why Peru produces more fish per surface unit than any other marine ecosystem (Chavez et al. 2008). Therefore, the highly productive waters within this area represent an important feeding area for filter-feeding species.

Within this area, adult Common and Pelagic Threshers feed mainly on Humboldt Squid *Dosidicus gigas* (64–65% Prey Specific Index of Relative Importance [PSIRI]) (Elliot et al. 1996; Gonzalez-Pestana et al. 2019). Results indicate that 85% of the stomachs analysed included food items. In one thresher shark stomach captured in this area, twenty beaks of Humboldt Squid were found (Adriana Gonzalez-Pestana pers. obs. 2022). In the Eastern Pacific (Ecuador and Mexico), thresher sharks feed mainly on cephalopods in which Humboldt Squid is one of the most important preys (Polo-Silva et al. 2009; Galván-Magaña et al. 2013; Calle-Morán et al. 2022). The Humboldt Squid represents one

of the most abundant marine resources as this is the most caught invertebrate species worldwide in which Peru presents the largest volumes captured (FAO 2022). Within Peru, historically the largest fishery has been concentrated in northern Peru (Csirke et al. 2018) located within this area. During warm periods (El Niño events or summers), Humboldt Squid aggregates in the most northern Major Upwelling Centers Associated with the NHCS EBSA (located in the central area of this area; traditional ecological knowledge from fishers), as these centres serve as a refuge, given the persistence of upwelling in them (CBD 2017, Jian et al. 2020). Therefore, this area represents an important feeding area for these species in the Eastern Pacific, as their main prey is exceptionally abundant. Common Thresher and Pelagic Thresher have been the fourth most captured shark species by Peruvian fisheries and the second most frequently captured shark species off northern Peru between 1997–2010 (Gonzalez-Pestana et al. 2014). Until 2020, the most important landing points for these species overlapped with this area (up to 89% of total landings).



Acknowledgments

Joanna Alfaro-Shigueto (ProDelphinus; Universidad Científica del Sur); Francisco Córdova-Zavaleta (Centro Interdisciplinario de Ciencias Marinas), Ximena Velez-Zuazo (Smithsonian Institute), Adriana Gonzalez-Pestana (IUCN SSC Shark Specialist Group - ISRA Project; Universidad Científica del Sur; ProDelphinus) contributed and consolidated information included in this factsheet. We thank the participants of the 2022 ISRA Region 12 - Central and South American Pacific workshop for their contributions to this process.

This factsheet has undergone review by the ISRA Independent Review Panel prior to its publication.

This project was funded by the Shark Conservation Fund, a philanthropic collaborative pooling expertise and resources to meet the threats facing the world's sharks and rays. The Shark Conservation Fund is a project of Rockefeller Philanthropy Advisors.

Suggested citation

IUCN SSC Shark Specialist Group. 2023. Humboldt Current and Transition Zone ISRA Factsheet. Dubai: IUCN SSC Shark Specialist Group.



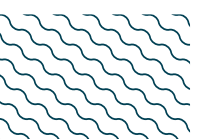
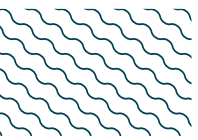
QUALIFYING SPECIES

Scientific Name	Common Name	IUCN Red List Category	Global Depth Range (m)	ISRA Criteria/Sub-criteria Met							
				A	B	C1	C2	C3	C4	C5	D1
SHARKS											
<i>Alopias pelagicus</i>	Pelagic Thresher	EN	0-300	X			X				
<i>Alopias vulpinus</i>	Common Thresher	VU	0-650	X			X				
RAYS											
<i>Mobula mobular</i>	Spinetail Devil Ray	EN	0-1,112	X		X	X				

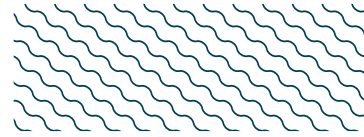
SUPPORTING SPECIES

Scientific Name	Common Name	IUCN Red List Category
SHARKS		
<i>Megachasma pelagios</i>	Megamouth Shark	LC
<i>Prionace glauca</i>	Blue Shark	NT
<i>Rhincodon typus</i>	Whale Shark	EN
<i>Sphyrna zygaena</i>	Smooth Hammerhead	VU
RAYS		
<i>Mobula birostris</i>	Oceanic Manta Ray	EN
<i>Mobula munkiana</i>	Munk's Pygmy Devil Ray	VU
<i>Mobula tarapacana</i>	Sicklefin Devil Ray	EN
<i>Mobula thurstoni</i>	Bentfin Devil Ray	EN
<i>Myliobatis chilensis</i>	Chilean Eagle Ray	VU
<i>Myliobatis peruvianus</i>	Peruvian Eagle Ray	VU
<i>Pteroplatytrygon violacea</i>	Pelagic Stingray	LC

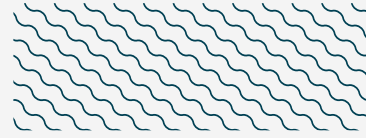
IUCN Red List categories: *CR*, Critically Endangered; *EN*, Endangered; *VU*, Vulnerable; *NT*, Near Threatened; *LC*, Least Concern; *DD*, Data Deficient.



SUPPORTING INFORMATION



There are additional indications that this area is important for the reproduction of one shark species. Catch composition of Pelagic Thresher is mostly adults (Gonzalez-Pestana et al. 2019; Córdova-Zavaleta 2022) and pregnant females have been documented in an advanced pregnancy state between November and January 2015, 2017, and 2018 (Torres 2018; Adriana Gonzalez-Pestana pers. obs. 2022). The embryos of sixteen pregnant females measured 42-118 cm total length (TL) (Torres 2018). Size-at-birth is 158-190 cm TL (Rigby et al. 2019) indicating that females might be using this area for reproductive purposes.



REFERENCES

- Alegre A, Bertrand A, Espino M, Espinoza P, Dioses T, Ñiquen P, Navarro I, Simier M, Ménard F. 2015.** Diet diversity of jack and chub mackerels and ecosystem changes in the northern Humboldt Current system: a long-term study. *Progress in Oceanography* 137: 299–313.
- Alfaro-Cordova E, del Solar A, Alfaro-Shigueto J, Mangel JC, Diaz B, Carrillo O, Sarmiento D. 2017.** Captures of manta and devil rays by small-scale gillnet fisheries in northern Peru. *Fisheries Research* 195: 28–36. <https://doi.org/10.1016/j.fishres.2017.06.012>
- Antezana T. 2010.** *Euphausia mucronata*: A keystone herbivore and prey of the Humboldt Current System. *Deep Sea Research Part II: Topical Studies in Oceanography* 57(7-8): 652–662. <https://doi.org/10.1016/j.dsr2.2009.10.014>
- Aronés K, Grados D, Ayón P, Bertrand A. 2019.** Spatio-temporal trends in zooplankton biomass in the northern Humboldt current system off Peru from 1961-2012. *Deep Sea Research Part II: Topical Studies in Oceanography* 169: 104656.
- Calle-Morán MD, Galván-Magaña F. 2020.** Diet composition and feeding habits of the pelagic thresher shark *Alopias pelagicus* in Eastern Central Pacific Ocean, Ecuadorian waters. *Journal of the Marine Biological Association of the United Kingdom* 100(5): 837–845.
- Chavez FP, Bertrand A, Guevara-Carrasco R, Soler P, Csirke J. 2008.** The northern Humboldt Current System: brief history, present status and a view towards the future. *Progress in Oceanography* 79: 95–105.
- Chavez F, Messie M. 2009.** A comparative analysis of eastern boundary upwelling ecosystems. *Progress in Oceanography* 83: 80–96. <https://doi.org/10.1016/j.pocean.2009.07.032>
- Coasaca-Cespedes JJ. 2019.** Analisis del contenido estomacal de *Mobula mobular* (Bonnaterre, 1788), capturadas en el norte de Perú durante el año 2015. Unpublished Bachelor's Thesis, Universidad Científica del Sur, Lima.
- Córdova-Zavaleta FA. 2022.** La pesquería artesanal de elasmobranchios en la zona norte-centro del Perú, redes de enmalle como caso de estudio. Unpublished Master's Thesis, Instituto Politecnico Nacional, La Paz.
- Csirke J, Argüelles Torres J, Alegre Norza Sior ARP, Ayón Dejo P, Bouchon Corrales M, Castillo Mendoza G, Castillo R, Cisneros R, Guevara-Carrasco R, Lau L, et al. 2018.** Biología, estructura poblacional y pesquería de pota o calamar gigante (*Dosidicus gigas*) en el Perú. *Boletín Instituto del Mar del Perú* 33(2): 302–364.
- Secretariat of the Convention on Biological Diversity (CBD). 2020.** Ecologically or Biologically Significant Marine Areas (EBSAs). Special places in the world's oceans. Volume 5: Eastern Tropical and Temperate Pacific Ocean. Available at: <https://www.cbd.int/marine/ebsa/booklet-05-ettp-en.pdf> Accessed September 2022.
- Elliot W, Paredes F, Bustamante M. 1996.** II Prospección biológico-pesquera de tiburones al oeste de las Islas Lobos, enero 1996. Version 1. Lima: IMARPE
- Espinoza P, Bertrand A. 2008.** Revisiting Peruvian anchovy (*Engraulis ringens*) trophodynamics provides a new vision of the Humboldt Current system. *Progress in Oceanography* 79(2-4): 215–227.
- Espinoza P, Bertrand A, Van der Lingen CD, Garrido S, Rojas de Mendiola B, 2009.** Diet of sardine (*Sardinops sagax*) in the northern Humboldt Current system and comparison with the diets of clupeoids in this and other eastern boundary upwelling systems. *Progress in Oceanography* 83: 242–250.
- FAO. 2022.** The state of world fisheries and aquaculture 2022. Towards blue transformation. Rome: FAO. Available at: <https://doi.org/10.4060/cc0461en> Accessed October 2022.
- Fernandez-Arcaya U, Ramirez-Llodra E, Aguzzi J, Allcock AL, Davies JS, Dissanayake A, Harris P, Howell K, Huvenne VAI, Macmillan-Lawler M, et al. 2017.** Ecological role of submarine canyons and need for canyon conservation: A review. *Frontiers in Marine Science* 4: 5. <https://doi.org/10.3389/fmars.2017.00005>
- Galván-Magaña F, Polo-Silva C, Hernández-Aguilar SB, Sandoval-Londoño A, Ochoa-Díaz MR, Aguilar-Castro N, Castañeda-Suárez D, Chavez-Costa AC, Baigorri-Santacruz A, Torres-Rojas YE, Abitia-**

- Cárdenas LA. 2013.** Shark predation on cephalopods in the Mexican and Ecuadorian Pacific Ocean. *Deep Sea Research Part II: Topical Studies in Oceanography* 95: 52–62.
- Gonzalez-Pestana A. 2022.** Catch composition of mobulid rays (*Mobula* spp.) in northern Peru reveals a potential nursery area for *M. mobular*. *Environmental Biology of Fishes* 105(7): 963–969. <https://doi.org/10.1007/s10641-022-01301-0>
- Gonzalez-Pestana A, Acuña-Perales N, Córdova F, Coasaca J, Alfaro E, Alfaro-Shigueto J, Mangel JC. 2019.** Feeding habits of thresher sharks *Alopias* spp. in northern Peru: Predators of Humboldt squid (*Dosidicus gigas*). *Journal of the Marine Biological Association of the United Kingdom* 99(3): 695–702. <https://doi.org/10.1017/S0025315418000504>
- Gonzalez-Pestana A, Alfaro-Shigueto J, Mangel JC. 2022.** A review of high trophic predator-prey relationships in the pelagic Northern Humboldt system, with a focus on anchovetas. *Fisheries Research* 253: 106386. <https://doi.org/10.1016/j.fishres.2022.106386>
- Gonzalez-Pestana A, Velez-Zuazo X, Alfaro-Shigueto J, Mangel JC. In Press.** Batoid fishery in Peru (1950-2015): Magnitude, management and data needs. *Revista de Biología Marina y Oceanografía*.
- Gutierrez D, Velazco F, Romero M, Rodríguez F, Argüelles J, Kameya A, Quipúzcoa L, García R. 2009.** Current geological and ecological knowledge of submarine canyons off the Peruvian coast: a balance. Consultancy developed for the GEF-UNEP Humboldt Current LME PRODOC development. Lima.
- Jacox MG, Edwards CA. 2011.** Effects of stratification and shelf slope on nutrient supply in coastal upwelling regions. *Journal of Geophysical Research: Oceans* 116 (C3): C03019. <https://doi.org/10.1029/2010JC006547>
- Jian W, Jingwen G, Ting L, Songling Z, Yuanyuan T, Xinjun C, Wei Y. 2020.** Spatio-temporal variations in the habitat of jumbo squid *Dosidicus gigas* in the Southeast Pacific Ocean off Peru under anomalous climate conditions. *Haiyang Xuebao* 42(10): 92–99.
- Jimenez R, Bonilla D. 1980.** Composición y distribución de la biomasa del plancton en el Frente Ecuatorial. INOCAR. *Acta Oceanográfica del Pacífico* 1(1).
- Lezama-Ochoa N, Hall M, Román M, Vogel N. 2019a.** Spatial and temporal distribution of mobulid ray species in the eastern Pacific Ocean ascertained from observer data from the tropical tuna purse-seine fishery. *Environmental Biology of Fishes* 102(1): 1–17. <https://doi.org/10.1007/S10641-018-0832-1>
- Lezama-Ochoa N, Hall MA, Pennino MG, Stewart JD, Lopez J, Murua H. 2019b.** Environmental characteristics associated with the presence of the Spinetail Devil Ray (*Mobula mobular*) in the eastern tropical Pacific. *PLoS ONE* 14(8): e0220854. <https://doi.org/10.1371/journal.pone.0220854>
- Lezama-Ochoa N, Pennino MG, Hall MA, Lopez J, Murua H. 2020.** Using a Bayesian modelling approach (INLA-SPDE) to predict the occurrence of the Spinetail Devil Ray (*Mobular mobular*). *Scientific Reports* 10(1): 18822. <https://doi.org/10.1038/s41598-020-73879-3>
- Marshall A, Barreto R, Carlson J, Fernando D, Fordham S, Francis MP, Herman K, Jabado RW, Liu KM, Rigby CL, et al. 2022.** *Mobula mobular* (amended version of 2020 assessment). *The IUCN Red List of Threatened Species* 2022: e.T110847130A214381504. <https://dx.doi.org/10.2305/IUCN.UK.2022-1.RLTS.T110847130A214381504.en>
- Massing JC, Schukat A, Auel H, Auch D, Kittu L, Pinedo Arteaga EL, Correa Acosta J, Hagen W. 2022.** Toward a solution of the “Peruvian puzzle”: Pelagic food-web structure and trophic interactions in the northern Humboldt current upwelling system off Peru. *Frontiers in Marine Science* 8: 2062. <https://doi.org/10.3389/fmars.2021.759603>
- Ministerio del Ambiente (MINAM). 2021.** Diagnóstico situacional de las “Mobulas” en el Perú. Version 1. Lima: MINAM.
- Notarbatolo-di-Sciara G. 1988.** Natural history of the rays of the genus *Mobula* in the Gulf of California. *Fishery Bulletin* 86(1): 45–66.
- Pennington JT, Mahoney KL, Kuwahara VS, Kolber DD, Calienes R, Chavez FP. 2006.** Primary production in the eastern tropical Pacific: A review. *Progress in Oceanography* 69: 285–317. <https://doi.org/10.1016/j.pcean.2006.03.012>

Polo-Silva C, Rendón L, Galván-Magaña F. 2009. Descripción de la dieta de los tiburones zorro (*Alopias pelagicus*) y (*Alopias superciliosus*) durante la época lluviosa en aguas ecuatorianas. *Pan-American Journal of Aquatic Sciences* 4(4): 556-571.

Stevenson M. 1981. Variaciones estacionales en el golfo de Guayaquil, un estuario tropical. *Boletín Científico Técnico* 4(1): 1-33.

Rigby CL, Barreto R, Carlson J, Fernando D, Fordham S, Francis MP, Herman K, Jabado RW, Liu KM, Marshall A, et al. 2019. *Alopias pelagicus*. *The IUCN Red List of Threatened Species* 2019: e.T161597A68607857. <https://dx.doi.org/10.2305/IUCN.UK.2019-3.RLTS.T161597A68607857.en>

Rigby CL, Barreto R, Fernando D, Carlson J, Charles R, Fordham S, Francis MP, Herman K, Jabado RW, Liu KM, et al. 2022. *Alopias vulpinus* (amended version of 2019 assessment). *The IUCN Red List of Threatened Species* 2022: e.T39339A212641186. <https://dx.doi.org/10.2305/IUCN.UK.2022-1.RLTS.T39339A212641186.en>

Rojas SL. 2016. Estudio morfológico de batoideos del género *Mobula* (Myliobatiformes: Myliobatidae) del mar peruano. Unpublished Bachelor's Thesis, Universidad Ricardo Palma, Lima.

Torres Carrasco AA. 2018. Variación espacio-temporal en las capturas provenientes de la pesquería artesanal de tiburón con red de enmalle de superficie, durante octubre 2016 a marzo 2018. Unpublished Bachelor's Thesis, Universidad Nacional de Piura, Piura.